



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Kimihiko NISHIOKA et al.**

Serial No.: **10/627,770**

Group Art Unit: Not yet assigned

Filed: **July 28, 2003**

Examiner: Not yet assigned

For: **OPTICAL APPARATUS AND IMAGING APPARATUS USING
THE SAME**

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

October 28, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

The Documents AF and AG are discussed in page 2 in the specification of the present application.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date (or the entry of the national stage) of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this

Serial No.:10/627,770

application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



Ken-Ichi Hattori
Attorney for Applicants
Reg. No. 32,861

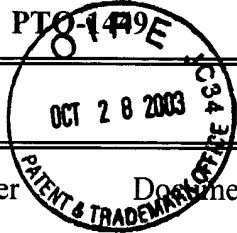
Atty. Docket No. **030896**
Suite 700
1250 Connecticut Avenue , N.W.
Washington, D.C. 20036
Tel:(202) 822-1100
KH/ak

Enclosures: PTO-1449, 2 references

38834

PATENT TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT PTO-149E	Atty. Docket No.: 030896	Serial No.: 10/627,770
	Applicants: Kimihiko NISHIOKA et al.	
	Filing Date: July 28, 2003	Group Art Unit: Not yet assigned



U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
_____ AF 2000-267010	09/29/2000	Japan	Yes
_____ AG 11-317894	11/16/1999	Japan	Yes
_____ AH			
_____ AI			
_____ AJ			
_____ AK			
_____ AL			

OTHER DOCUMENTS

_____ AM	
_____ AN	

Examiner	Date Considered
----------	-----------------